Etudes par imagerie cérébrale de la prise de décisions et du système de récompense chez l'homme Jean-Claude Dreher

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General goal:

Understand the normal and pathological neural

mechanisms of decision making and reward processing

Functional organization of the prefrontal cortex



II. Study of the dopaminergic system III. Dysfunctions of the prefrontal cortex and the dopaminergic system



Decision making

Reward system

Functional organization of the prefrontal cortex



Decision making

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Reward system

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III. Dysfunctions of the prefrontal cortex and the dopaminergic system



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Decision making

Reward system



Decision making

Reward system

Clinical Populations

Imaging Methods: fMRI, MEG and intra-cranial recordings in humans

Fourth axis of research:



General approach: Links between cerebral activation, neurophysiological mechanisms and processes involved in decision making and reward processing



Behavioral performance



Neurocomputational models



Cerebral activation (fMRI)

Electrophysiology (monkeys, Intra-cranial human recordings)

Sequential actions choice

How do we learn to choose actions on the basis of immediate and delayed information about the consequences of those actions?



Basic stages of reinforcement learning models accounts of learned decision-making















Cognitive functions associated to the Dorsolateral Prefrontal Cortex (BA 9/46): Working memory, task switching





Cognitive function associated to the fronto-polar cortex (BA 10): Planning, Branching (combination of working memory and task switching)



Cognitive function associated to the orbitofrontal cortex (BA 11, 12, 14): reward processing, emotion and motivation

Destruction of the OFC through <u>brain injury</u> typically leads to a pattern of <u>disinhibited</u> behaviour. Examples include swearing excessively, hypersexuality, poor social interaction, compulsive gambling, drug use (including alcohol and tobacco) and poor empathising ability

Functional dissociation of the OFC in humans?

Striato-frontal loops



Adapted from Tremblay, Handbook of Reward and Decision making, 2009

Functional organization of the prefrontal cortex



Decision making

II. Study of the dopaminergic system III. Dysfunctions of the prefrontal cortex and the dopaminergic system



Reward system

What is a reward?

 A reward is anything that an animal will work to attain -> concepts of motivation and effort

Rewards are motivating - elicit approach and consummatory behaviour.
-> distinct representation in time: anticipation and reception

Rewards elicit learning and are often probabilistic

 Some rewards are primary reinforcers i.e. have innate value and have not acquired their reinforcing properties through learning

Examples of primary rewards include: tastes, odours, sex, grooming, social affiliation (see Rolls, 1999)

-> One or several reward systems?

- In humans, rewards have an associated subjective state
- The reinforcing properties of rewards depend on the animal's internal motivational state

 Choice between rewards: relationship between decision making and rewards: preferences **Neuro-economics: A map of the problematic**



Neuroeconomics

Study of the neurobiological and computational basis of value-based decision making.

Combines behavioral models of judgment and decision making under risk and uncertainty and neuroimaging

General problem:

Links between behavior and neurophysiological mechanisms involved in decision making and reward processing

Method: model-based fMRI approach



Anatomy: reward system



Reward structures in the human brain







How does the brain computes values ?

Questions

How are factors such as reward amount, probability or uncertainty represented in the brain?

Reward value V: V= f(amount)*g(delay)*h(proba)



Pavlovian conditioning





Ivan Pavlov (1849-1936)



Reward Learning: Pavlovian Appetitive Conditioning

Single unit recordings from dopamine neurons revealed that these neurons produce responses consistent with TD - learning (Schultz, 1998):

(1) Transferring their responses from the time of the presentation of the reward to the time of the presentation of the CS during learning.

(2) Decreasing firing from baseline at the time the reward was expected following an omission of expected reward.

(3) Responding at the time of the reward following the unexpected delivery of reward



From Schultz, Montague and Dayan, 1997

Temporal difference learning model



Predicting future events: Phasic properties of dopaminergic neurons during learning





Development of prediction error signal through training, as predicted by temporal difference learning models

Phasic changes in dopamine neurons code an error in the prediction of appetitive events

• Learning is mediated by a prediction error (Rescorla and Wagner, 1972; Pearce & Hall, 1980):

$$\delta(t) = r(t) + \gamma \hat{V}(t+1) - \hat{V}(t)$$

(Schultz et al, Science, 1997)



II. Study of the reward system Electrophysiological properties of dopaminergic neurons



Conditioned Stimulus predicts reward with Probability P

Two modes of firing of DA neurons:





II. Study of the reward system Electrophysiological properties of dopaminergic neurons



(Fiorillo et al., Science, 2003)

Two modes of firing of DA neurons:



INFLUENCE OF REWARD PROBABILITY ON PHASIC AND SUSTAINED DOPAMINERGIC RESPONSES

PHASIC RESPONSES





INFLUENCE OF REWARD PROBABILITY ON PHASIC AND SUSTAINED DOPAMINERGIC RESPONSES

PHASIC RESPONSES





INFLUENCE OF REWARD PROBABILITY ON PHASIC AND SUSTAINED DOPAMINERGIC RESPONSES

PHASIC RESPONSES



reward



SUSTAINED RESPONSES



Tonic dopaminergic activity and Uncertainty



Fiorillo et al, Science 2003

Questions

How are factors such as reward amount, probability or uncertainty represented in the brain?

What are the neural representations of different type of rewards (eg. Primary vs secondary)?

Reward value V: V= f(amount)*g(delay)*h(proba)



Neural substrate of the phasic and sustained modes of activities in healthy humans Questions:

- (1) Can transient and sustained midbrain activities dynamics be observed in humans ?
- (2) Are there distinct brain regions involved with the phasic (at the time of the conditioned stimulus and reward) and with the sustained mode of DA activities ?
 - -> Role of monetary reward probability and magnitude on brain activity





(Dreher et al., Cerebral Cortex, 2006)










Different reward probabilities, equal reward magnitude.

Different reward magnitudes, equal reward probability.









Equal expected reward value (product probability*magnitude), different reward probabilities and magnitudes.













1 trial: Cue: S1	Delay	Outcome: S2	
1 s/	14 s	2 s	6-14 s
A:			

1 trial: C	Cue: S1	Delay	Outcome: S2	
	1 s/	14 s	2 s	6-14 s
A:				
B:				

1 trial:	Cue: S1	Delay	Outcome: S2	
A:	. 7	14 s	2 s	6-14 s
B:				
C:				

1 trial:	Cue: S1	Delay	Outcome: S2	
A:		14 s	2's	6-14 s
B: \$ 20				
C: \$ 10				
D: \$0				

1 trial:	Cue: S1	Delay	Outcome: S2	
A:		14 s	2's	6-14 s
B: \$20				
C: \$ 10				
D: \$0			Anti-Anti-Anti-Anti-Anti-Anti-Anti-Anti-	



What do we measure with fMRI?



 fMRI tracks changes in oxygen levels in the blood in response to stimuli
Blood Oxygenation Level Dependent (BOLD) signal reflects the input and intracortical processing of a given area rather than its spiking output



P= 0.5 \$20 > P=0.25 \$20

POSSIBLE FUNCTIONS OF DOPAMINERGIC PROJECTION SITES

- The DLPFC may generate or maintain the prediction of potential rewards.
- The ventral striatum activation may reflect the expectation of reward information in terms of information theory (the more uncertain the outcome, the more information it conveys).



 This function may be useful for promoting exploratory behavior in the balance that an organism must exert between exploring the environment to gain new information and exploiting existing knowledge to obtain a reward.

Intra-cranial EEG study of the reward system in humans



3d spinner stops spinning → Known outcome

Vanni-Mercier et al., J. Neurosci., 2009

Intra-cranial EEG study of the reward system in humans

Local field potential recorded in the hippocampus for different reward probabilities



Vanni-Mercier et al., J. Neurosci., 2009

CONCLUSION: Reward probability and uncertainty coding

- Our findings extend in humans the distinction between a transient and a sustained mode of midbrain activity found in monkeys.
- Different projection sites, such as the frontal cortex and the ventral striatum respond preferentially to the phasic and sustained modes of activity.
- In the hippocampus, uncertainty is coded at the time of the outcome in a transient fashion.
- These results indicate distinct roles for different dopamine-related networks in processing different reward information signals.















Erotic stimuli

Monetary reward

- Influence of specific motivational dysfunction on other types of rewards:
- Pathological gamblers
- Anorexia
- Men with Hypoactive Sexual Desire Disorder



Erotic stimuli

Are there common / distinct neural networks for rewards of different nature?

Sescousse et al., J. Neurosci., 2010



Sescousse et al., J. Neurosci., 2010



Outcome





Magnitude







Methods

• 18 young, healthy, heterosexual men (mean age: 24 ± 3.3 years)

 Subjects' sexual orientation assessed using the french analysis of sexual behavior questionnaire (Spira et al., 1993) and sexual arousability was measured with the Sexual Arousability Inventory (SAI) (Hoon and Chambless, 1998), which ensured that subjects showed a "standard" sexual arousability

- Right-handed, as assessed by the Edinburgh Handedness Questionnaire
- No history of psychiatric or neurological illness

Behavioral results



Common brain network for rewards of different nature





CONJUNCTION of (moneywin > control) & (sexwin > control)
Antero-posterior dissociation in the orbitofrontal cortex according to reward nature



Amygdala is more activated for erotic rewards than for monetary gains



Conclusion

• The anterior lateral OFC, a phylogenetically recent structure, processes secondary rewards, while the posterior lateral OFC, phylogenetically and ontogenetically older, processes primary rewards.

• A set of neural structures encode the subjective value of rewards regardless of their type, consistent with the computation of a common neural currency.

• Supports a unified modular view of reward value coding in the brain, and propose a novel framework describing the functional organization of the human lateral OFC which has basic implications for integrative theories of prefrontal cortex functions.

Value-based decision making



Rangel et al., Nat. Rev. Neurosci., 2008



Standard theories of economic decision making do not distinguish between decisions related to different types of costs, such as delay or effort costs
A choice is made after a valuation stage, regardless of the nature of the cost
Unclear which frontal regions are involved for different decision costs in humans

Separate neural pathways process different decision costs (in rats)

 Functional dissociation between the anterior cingulate cortex and the orbitofrontal cortex in rats



Delay discounting in the human brain

• Neuroimaging studies of decision-making have generally related neural activity to objective measures (such as reward magnitude, probability or delay), despite choice preferences being subjective.

• However, economic theories posit that decision-makers behave as though different options have different subjective values.

Many decisions involve the evaluation of rewards and costs that arrive with different delays.

Thus, the valuation systems require a mechanism for incorporating the timing of rewards into their computations.

Temporal modulators of value in the goal-directed system

Delay discounting in the human brain

• Subjective value = 1/kD, k:subject specific constant, D: Delay





 Subjective utility theories: rational decision makers choose the option with the highest subjective value

Kable and Glimcher, Nat. Neurosci. 2007

Distinct valuation subsystems in the human brain for effort and delay decision costs

• QUESTION: Unclear whether the human brain uses a single valuation system to compute the subjective value of rewards associated with different types of costs, such as delay or effort.

Prévost et al., Journal of Neuroscience, 2010

Delay-discounting task



Effort-discounting task



Behavioral results: delay condition



 Subjects integrated in their decision both the benefit associated with the cue and the cost indicated by the proposed level of delay

Behavioral results: effort conditon



• Subjects integrated in their decision both the benefit associated with the cue and the cost indicated by the proposed level of effort

Behavioral results



Proposed level of Cost

Rating

SV ~ Incentive / Cost (Hyperbolic function)

Behavioral model of subjective value





$$SV_{D} = A_{D}^{*} x_{D} / (1+C_{D}^{*} k_{D})$$

 $SV_{E} = A_{E}^{*} x_{E} / (1+C_{E}^{*} k_{E})$

Softmax rule: P(delayed option) = 1/(1/exp(-SV_D/β_D))

 $P(effortful option) = 1/(1/exp(-SVE/\betaE))$

A: rAting of the cue

C: Proposed level of Cost

x: subject-specific constant corresponding to the ratio between viewing the large vs small reward

k: subject-specific constant corresponding to the discount factor

• Parameters *x*, *k*, and β adjusted using the least square method to minimize the distance between the behavioral choice and the probability of choice estimated by the model, across all sessions and subjects.

Subjective value of the reward associated with the costly option



- Subjective value of the reward associated with the costly option decreased as the associated proposed level of delay or effort increased, demonstrating that delay and effort were effectively perceived as costs
- Subjective value of a high reward associated with a larger effort is discounted hyperbolically, as previously demonstrated for the subjective value of delayed reward
- Our design was effective to have subjects devalue primary rewards in a few seconds

Positive correlation with subjective value of the large reward associated with the delayed option



Positive correlation with subjective value of the large reward associated with the delayed option



 Subjective valuation signals of erotic rewards really experienced inside the scanner are computed in similar limbic frontostriatal networks than non-experienced secondary (monetary) rewards, delayed from minutes to month/years.

Positive correlation with subjective value of the large reward associated with the delayed option

а



y = 10





Subjective value of the delayed reward

T-values

Incentive value of the cue

Proposed level of delay cost

Negative correlation with subjective value of the large reward associated with the effortful option





Subjective value of the effortful reward

Subjective value of the delayed reward

Negative correlation with subjective value of the large reward associated with the effortful option







Subjective value of the effortful reward

Incentive value of the cue

Proposed level of effort

Conclusion

• A single computational model derived from economics theory, accounts for the behavior observed in both delay- and effort-discounting.

• Our neuroimaging data challenge the view of a unique neural system tracking the value of costly rewards regardless of the nature of the decision costs.

• They reveal distinct valuation subsystems in the human brain for different types of costs, reflecting in <u>opposite fashion</u> delayed reward and future energetic expenses.

• Although a separation of decision costs is not emphasized by standard accounts of economic decision making, it may prove a useful concept for understanding frontal contributions to decision making.

• Impaired decision making in neurological and psychiatric populations is characterized at different times by seemingly opposite patterns of both impulsiveness (delay aversion) and apathy (effort aversion).

Three axes of research:

Functional organization of the prefrontal cortex



III. Dysfunctions of the prefrontal cortex and the dopaminergic system



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Decision making

Reward system

Schizophrenia, Parkinson's disease, Lesions of the PFC, Pathologic gambling, Anorexia, Healthy aging

Fourth axis of research:



• HANDBOOK OF • **REWARD** AND **DECISION MAKING**

Handbook of Reward and Decision Making

Edited by: Jean-Claude Dreher and Leon Tremblay

Published by Academic Press (2009)

EDITED BY JEAN-CLAUDE DREHER LEON TREMBLAY



Effects of hormonal and genetic variations on the response of the human reward system

Linking hormones and genes to functional variation in brain systems related to cognition and motivation



• Inter-individual genetic differences may contribute to inheritable personality traits in the general population and to neuropsychiatric disorders.

• Hormonal variations can influence cognitive functions, affective state and vulnerability to drugs of abuse.

Menstrual cycle phase modulates reward-related neural function in women

Background

• Estrogen and progesterone not only influence ovulation and reproductive behavior but also affect cognitive functions, affective state and vulnerability to drugs of abuse.

• Animal studies show that the dopaminergic system is sensitive to circulating gonadal steroid hormones (e.g. female rats show the highest rates of cocaine self-admnistration shortly after estradiol peaks).

Goal of this study

• Investigate the influence of menstrual cycle phases on the human reward system using fMRI.

Dreher et al., PNAS, 2007

Methods

• 13 healthy young women (mean age= 27±5): no hormonal medication within the last 6 months, regular menstrual cycle duration, no CNS-active medication or illicit drugs and no regular consumption of nicotine or alcohol.

• Women studied with a repeated-measures, counterbalanced design across menstrual cycle phases (during the follicular phase: days 4-8 after menses and during the luteal phase: days 6-10 following Luteinizing Hormone surge).

 Symptom rating scores (Beck Depression Inventory and Premenstrual Tension Syndrome rating scale) did not differ across menstrual cycle phases.

Serum steroid hormone levels

Progesterone level

Estradiol level



Menstrual cycle phase modulates reward-related neural function in women

FOLLICULAR phase > LUTEAL phase

REWARD ANTICIPATION 22.8] Ē Z= -23

Right amygdala itted and adjusted response: flects of interest Follicular luteal

REWARDED vs NON-REWARDED OUTCOME



Midbrain region



Left amygdala



Right orbitofrontal cortex

Dreher et al., PNAS, 2007

Conclusion

• These data demonstrate augmented reactivity of the reward system in women during the follicular phase.

• They provide the first evidence of a neurofunctional modulation of the reward system by gonadal steroid hormones in humans.

• Establish a foundation for understanding menstrual cycle effects on vulnerability to drugs of abuse, neuropsychiatric diseases with differential expression across males and females and hormonally-mediated mood disorders.

• The increased availability, receptivity, and desire that may occur during the ovulatory period has been thought to facilitate procreation. This may elucidate the neurobiological substrates of menstrual cycle influence on different types of motivated behavior (e.g., sexual preference and drug abuse).



Genetical basis of vulnerability to neuropsychiatric disorders

Relationships between the effects of genetic variations and biological processes involved in schizophrenia





schizophrenia

cognition

<u>Genes:</u>

 Multiple susceptibility alleles, each of small effect <u>Cellular level</u>

 Neuronal dysfunction System:

Prefrontal cortex
 dysfunction

<u>behavior:</u>

 Cognitive and motivational deficits



Genetical basis of vulnerability to neuropsychiatric disorders

• Although there are clear individual genetic differences regarding susceptibility to and manifestation of neuropsychopathologies (eg. schizophrenia, Parkinson's disease, pathological gambling, and drug addiction) the influence of genetic predispositions and variations on activation of the human reward system remains poorly understood.

• Investigating the effects of interindividual differences in dopamine signaling on the response of the reward system is an important research question because these differences may contribute to heritable personality traits in the general population and to neuropsychiatric conditions involving abnormalities in catecholamine neurotransmission

Effects of genetic variation in dopamine regulating genes on the response of the human reward system in healthy subjects

Linking genes to functional variation in brain systems related to cognition and motivation



• Influence of the polymorphisms of the catecholamine-O-methyltransferase (COMT) (methionine/methionine; valine/methionine; valine/valine) and the Dopamine Transporter (DAT) (9/9&9/10; 10/10) on the reward system.

Effects of genetic variation in dopamine regulating genes on the response of the human reward system in healthy subjects

Linking genes to functional variation in brain systems related to cognition and motivation



Dreher et al., PNAS, 2009

ANTICIPATION OF UNCERTAIN REWARDS: mm > vm > vv



High synaptic DA L

Low synaptic DA
ANTICIPATION OF UNCERTAIN REWARDS: DAT 9/9 & 9/10 > 10/10



Dreher et al., PNAS, 2009

Conclusion

• Genetically-influenced variations in dopamine transmission modulate the response of a prefronto-striatal reward-related network involved in anticipation of rewards.

• These responses may contribute to individual differences in reward seeking behavior and in predisposition to neuropsychiatric disorders.



Influences of genetic and hormonal variations on reward processing

Variation in dopamine genes and changes in gonadal steroid hormone levels influence responsivity of the human reward system **reward system**



 First evidence of neurofunctional modulation of the reward system by gonadal steroid hormones in humans

 Neurobiological foundation for understanding their impact on vulnerability to drug abuse, neuropsychiatric diseases with differential expression across males and females and hormonally-mediated mood disorders.

Dreher et al., *PNAS*, 2007 Dreher et al., *PNAS*, 2008 Dreher et al., *PNAS*, 2009



Influences of genetic and hormonal variations on reward processing

Variation in dopamine genes and changes in gonadal steroid hormone levels influence responsivity of the human reward system **reward system**



• Genetically-influenced variations in dopamine transmission modulate the response of brain regions involved in anticipation and reception of rewards.

 These responses may contribute to individual differences in reward seeking behavior and in predisposition to neuropsychiatric disorders.

Dreher et al., *PNAS*, 2007 Dreher et al., *PNAS*, 2008 Dreher et al., *PNAS*, 2009

Age-related changes in midbrain dopaminergic regulation of the human reward system: a multimodal neuroimaging study (FDOPA PET and fMRI)

YOUNG > OLD fMRI Results: Reward anticipation



Correlation between lateral PFC activation and midbrain F-DOPA Ki





Dreher et al., PNAS, 2008

CONCLUSIONS: Reward-related fMRI studies

 Disentangle two brain systems involved in transient and sustained aspects of reward processing.

- Uncertainty coding at the time of the outcome in the hippocampus.
- Distinct orbitofrontal regions code primary and secondary rewards.
- Distinct valuation systems for delay and effort decision costs
- Evidence of neurofunctional modulation of the reward system by gonadal steroid hormones in humans.
- Genetically-influenced variations in dopamine transmission modulate the response of a prefronto-striatal reward-related network involved in anticipation and reception of rewards.
- Qualitative changes in the interaction between midbrain dopaminergic function and reward-related prefrontal activity during normal aging.

CONCLUSION

Understand the normal and pathological neural mechanisms of decision making and reward processing



Functional organization of the prefrontal cortex



- Reward system in humans:
- Basic mechanisms
- Common and specific neural substrates for different types of rewards
- Genetic and hormonal influences
- Effects of aging



• Dysfunctions of cognitive and reward neural systems in patients with schizophrenia, Parkinson's disease, patholgocial gambling, ...

Humans and great apes share a large frontal cortex



chimpanzee

Humans

Semendeferi et al., Nature Neuroscience, 2002

Functional MRI: BOLD response



Decision flexibility

Task switching paradigm: Switch between tasks according to color cue (rule)



fMRI results

Common brain regions activated in all task switching conditions relative to baseline





Dreher et al., Neuroimage, 2002



The roles of task sequence predictability and history during task switching

What are the roles of the statistical property of the task sequence and of the task sequence history on the way the prefrontal cortex is recruited?



Task orderTask orderpredictabilityunpredictability

Dreher et al., *Neuroimage*, 2002



The roles of task sequence predictability and history during task switching

What are the roles of the statistical property of the task sequence and of the task sequence history on the way the prefrontal cortex is recruited?





Task order predictability un

Task order unpredictability



Overcoming of residual inhibition during task switching

Dreher et al., *Neuroimage*, 2002

Dreher et al., PNAS, 2002



Role of the fronto-polar cortex in holding in mind goals while exploring and processing secondary goals



Koechlin et al., Nature, 1999

Patients with fronto-polar lesions (BA 10)



Dreher et al., PLOS One, 2009

Correlation between the size of fronto-polar lesion and error rates in the branching condition



% errors branching condition

-> Fronto-polar cortex is necessary for holding in mind goals while exploring and processing secondary goals.

Dreher et al., PLOS One, 2009



Functional organization of the prefrontal cortex: Hierarchical organization of the frontal lobe



- Respective functions of the DLPFC, anterior medial PFC and fronto-polar cortex
- Roles of task order predictability and task sequence history on the way the PFC is recruited during task switching (DLPFC vs anterior medial PFC)
- Combination of working memory and task switching impaired with lesions of the fronto-polar cortex
- Further functional divisions within the orbitofrontal cortex?



Functional organization of the prefrontal cortex



Cognitive functions associated to the Dorsolateral Prefrontal Cortex (BA 9/46): Working memory, cognitive flexibility (task switching)

Cognitive function associated to the fronto-polar cortex (BA 10): Branching (combination of working memory and task switching)

Cognitive function associated to the orbitofrontal cortex: reward processing and motivation